DT07 Rec'd PCT/PTO 1 0 DEC 2004

PTO-1390 (Rev. 10-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
<b>CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>

ATTTORNEY'S DOCKET NUMBER 5259-000045/NP

	CERNING A SUBMISSION UNDER 35 U.S.C. 371	U.S. APPLICATION N.G. (Francher, Jeep 372 5)								
	ONAL APPLICATION NO. INTERNATIONAL FILING DATE 08 April 2004 (8.04.2004)	PRIORITY DATE CLAIMED 08 April 2003 (8.04.2003)								
TITLE OF I	TITLE OF INVENTION INFORMATION CODING APPARATUS, INFÓRMATION DÉCODING APPARATUS, AND METHOD AND PROGRAM FOR THE SAME									
APPLICANT(S) FOR DO/EO/US ENDO, Masahiro, et al.										
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:										
1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.										
2. 🔲 т	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.									
з. 🛚 Т	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.									
4. 🗓 т	The US has been elected (Article 31).									
5. 🗓	A copy of the International Application as filed (35 U.S.C. 371(c)(2))									
	a. X is attached hereto (required only if not communicated by the International Bureau).									
6	b.  has been communicated by the International Bureau.									
	c. is not required, as the application was filed in the United States Receiving Office (RO/US).									
6. 🛚	An English language translation of the International Application as filed (35 U.S.C	2. 371(c)(2)).								
	a. X is attached hereto.									
	b. has been previously submitted under 35 U.S.C. 154(d)(4).	•								
7. X	Amendments to the claims of the International Application under PCT Article 19 (	35 U.S.C. 371(c)(3))								
	a. are attached hereto (required only if not communicated by the International Bureau).									
	b. X have been communicated by the International Bureau.									
	c. have not been made; however, the time limit for making such amendments has NOT expired.									
	d. have not been made and will not be made.	•								
8. 🛛	An English language translation of the amendments to the claims under PCT Art	icle 19 (35 U.S.C. 371(c)(3)).								
9. 🔀	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).									
10.	An English language translation of the annexes of the International Preliminary Ex Article 36 (35 U.S.C. 371(c)(5)).	xamination Report under PCT								
Items '	11 to 20 below concern document(s) or information included:									
11. X	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.									
	An assignment document for recording. A separate cover sheet in compliance wit	h 37 CFR 3.28 and 3.31 is included.								
13. 🔀	A preliminary amendment.									
14. 🛚	An Application Data Sheet under 37 CFR 1.76.									
15.	A substitute specification.									
16. 🔲	A power of attorney and/or change of address letter.									
17.	A computer-readable form of the sequence listing in accordance with PCT Rule 1	3ter.2 and 37 CFR 1.821- 1.825.								
18.	A second copy of the published International Application under 35 U.S.C. 154(d)(-	4).								
	A second copy of the English language translation of the International Application	under 35 U.S.C. 154(d)(4).								
20. 🛛 (	Other items or information: See Attachment 1									

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO-1390 (Rev. 12-2004)

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U.S. APPLICAT	TON NOT (IT thown, see	176	PCT/JP2004	/005090	5259-000045	_			
21. The following	ing fees are submitted:		<del></del>			T			
X a) Basic na	ational fee	•••••		\$300.00	\$ 300:00				
X b) Examina	nation fee			\$200.00	\$ 200.00				
i					\$ 500.00				
27.00-2014	TOTAL OF ABOVE CA	\$1,000.00							
listing or coa		led in an e	filed in paper over 100 sheets (e electronic medium). The fee is eof.						
Total Sheets			er of each additional 50 or fracti eof (round up to a whole numbe		]				
- 100 =	/50 =			× \$250.00	\$	·			
	30.00 for furnishing the ordate (37 CFR 1.492(e)).	ath or dec	daration later than 30 months fr	om the earliest	\$				
1 CLAIMS	NUMBER FIL	LED	NUMBER EXTRA	RATE	\$				
Total claims	21	- 20 =	1	× \$50.00	\$ 750.00				
Independent clair	ims 9	- 3 =	6	× \$200.00	\$1,200.00				
MULTIPLE DEPE	ENDENT CLAIM(S) (if ap	plicable)		+ \$360.00	\$ 360.00				
			TOTAL OF ABOVE		\$1,610.00				
Applicant cl by 1/2.	laims small entity status.	See 37 C	CFR 1.27. The fees indicated ab	ove are reduced	\$				
				SUBTOTAL =	\$				
	of \$130.00 for furnishing the date (37 CFR 1.492(f)).	ne English	h translation later than 30 montl	ns from the earliest	\$				
			TOTAL	. NATIONAL FEE =	\$				
	g the enclosed assignmer e cover sheet (37 CFR 3.		R 1.21(h)). The assignment mus . <b>\$40.00</b> per property	st be accompanied +	\$ 40.00				
			TOTAL F	EES ENCLOSED =	0.050.00				
					Amount to be refunded:	\$			
					Amount to be charged:	\$			
a. X A check	k in the amount of \$2	<b>,</b> 650.	00 to cover the above	ve fees is enclosed.					
	charge my Deposit Acco			, to c	xover the above fees.				
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. 08-0750 A duplicate copy of this sheet is enclosed.									
d. Fees an									
NOTE: Where an	n appropriate time limit restore the International	under 37 I Applica	7 CFR 1.495 has not been met, tion to pending status.	, a petition to revive	e (37 CFR 1.137(a) or (b)	) must be filed			
SEND ALL CORF	RESPONDENCE TO:			J no	ASHA	2			
Harness, Dickey & Pierce, PLC									
P.O. Box 828  Gregory A. STOBBS									
Bloomfield USA	d Hills, MI	48303		NAME					
USA				28,764					
REGISTRATION NUMBER									

FORM PTO-1390 (REV. 12-2004)

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## Addendum

## Attachment 1

Return Postcard; Express Mail Certificate No. EV 533144195 US; Translation of the Amendment of Claims under Article 19; Copy of Int'l Search Report for PCT/JP2004/005090; Copies of 11 cited references not included on Int'l Search Report; Copy of PCT/IB/304 for PCT/JP2004/005090; 12 Sheets of Formal Drawings (showing Figs. 1-13)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Express Mail No.: EV 533 144 195 US Date of Deposit: 10 December 2004

Application No.:

Not yet assigned – 371 of PCT/JP2004/005090

International

Filing Date:

08 April 2004

Applicant:

Masahiro ENDO, et al.

Title:

INFORMATION CODING APPARATUS, INFORMATION DECODING APPARATUS, AND METHOD AND PROGRAM

FOR THE SAME

Attorney Docket:

5259-000045/NP

Mail Stop PCT
Commissioner for Patents
P. O. Box 1450
Alexandria, Virginia 22313-1450

## **EXPRESS MAIL TRANSMITTAL**

The following papers are being deposited with the United States Postal Service Express Mail Post Office To Addressee on 10 December 2004 and addressed for receipt by the United States Patent and Trademark Office:

- 1. Express Mail Transmittal (Label No. EV 533 144 195 US);
- 2. Check in the amount of \$2,650.00;
- Transmittal Letter to the DO/EO/US Concerning a Filing Under 35 USC 371 (3 pages, in duplicate);
- 4. Application Data Sheet (4 pages);
- 5. Copy of International Application (57 pages);
- 6. English Translation of International Application (62 pages);
- 7. English Translation of Amendment of the Claims Under Article 19 (11 pages);
- 8. Preliminary Amendment (28 pages);
- 9. Formal Drawings showing Figs. 1-13 (12 pages);
- 10. Signed Declaration and Power of Attorney (3 pages);
- 11. Assignment Recordation Cover Sheet and Assignment (3 pages);
- 12. Information Disclosure Statement; IDS Form 1449; Copy of International Search Report and copy of 11 cited references:
- 13. Copy of PCT/IB/304 for PCT/JP2004/005090; and
- 14. Return Receipt Postcard.

HARNESS, DICKEY & PIERCE, P.L.C. P.O. Box 828 Bloomfield Hills, Michigan 48303 (248) 641-1600